

# RELAXED VARIATIONAL PRINCIPLE FOR WATER WAVE MODELING

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Workshop on Ocean Wave Dynamics



# ACKNOWLEDGEMENTS

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## TALK IS MAINLY BASED ON:

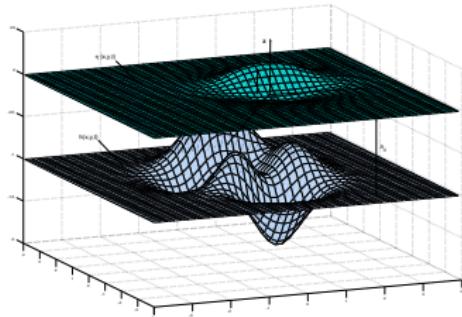
Clamond, D., Dutykh, D. (2012). *Practical use of variational principles for modeling water waves*. Physica D: Nonlinear Phenomena, 241(1), 25-36.

# WATER WAVE PROBLEM - I

## PHYSICAL ASSUMPTIONS:

- ▶ Fluid is ideal
- ▶ Flow is incompressible
- ▶ ... and irrotational, i.e.  $\mathbf{u} = \nabla\phi$
- ▶ Free surface is a graph
- ▶ Above free surface there is void
- ▶ Atmospheric pressure is constant

Surface tension can be also taken into account



# WATER WAVE PROBLEM - II

- ▶ Continuity equation

$$\nabla_{\mathbf{x},y}^2 \phi = 0, \quad (\mathbf{x}, y) \in \Omega \times [-d, \eta],$$

- ▶ Kinematic bottom condition

$$\frac{\partial \phi}{\partial y} + \nabla \phi \cdot \nabla d = 0, \quad y = -d,$$

- ▶ Kinematic free surface condition

$$\frac{\partial \eta}{\partial t} + \nabla \phi \cdot \nabla \eta = \frac{\partial \phi}{\partial y}, \quad y = \eta(\mathbf{x}, t),$$

- ▶ Dynamic free surface condition

$$\frac{\partial \phi}{\partial t} + \frac{1}{2} |\nabla_{\mathbf{x},y} \phi|^2 + g\eta + \sigma \nabla \cdot \left( \frac{\nabla \eta}{\sqrt{1 + |\nabla \eta|^2}} \right) = 0, \quad y = \eta(\mathbf{x}, t).$$



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# HAMILTONIAN STRUCTURE

ZAKHAROV (1968) [ZAK68]; CRAIG & SULEM (1993) [CS93]

## CANONICAL VARIABLES:

$\eta(\mathbf{x}, t)$ : free surface elevation

$\tilde{\phi}(\mathbf{x}, t)$ : velocity potential at the free surface

$$\tilde{\phi}(\mathbf{x}, t) := \phi(\mathbf{x}, y = \eta(\mathbf{x}, t), t)$$

- ▶ Evolution equations:

$$\rho \frac{\partial \eta}{\partial t} = \frac{\delta \mathcal{H}}{\delta \tilde{\phi}}, \quad \rho \frac{\partial \tilde{\phi}}{\partial t} = -\frac{\delta \mathcal{H}}{\delta \eta},$$

- ▶ Hamiltonian:

$$\mathcal{H} = \int_{-d}^{\eta} \frac{1}{2} |\nabla_{\mathbf{x}, y} \phi|^2 dy + \frac{1}{2} g \eta^2 + \sigma \left( \sqrt{1 + |\nabla \eta|^2} - 1 \right)$$

# LUKE'S VARIATIONAL PRINCIPLES

J.C. LUKE, JFM (1967) [LUK67]

- ▶ First improvement of the classical Lagrangian  $\mathcal{L} := K + \Pi$ :

$$\mathcal{L} = \int_{t_1}^{t_2} \int_{\Omega} \rho \mathcal{L} \, d\mathbf{x} \, dt, \quad \mathcal{L} := \int_{-d}^{\eta} \left( \phi_t + \frac{1}{2} |\nabla_{\mathbf{x}, y} \phi|^2 + gy \right) dy$$

$$\delta\phi: \Delta\phi = 0, \quad (\mathbf{x}, y) \in \Omega \times [-d, \eta],$$

$$\delta\phi|_{y=-d}: \frac{\partial\phi}{\partial y} + \nabla\phi \cdot \nabla d = 0, \quad y = -d,$$

$$\delta\phi|_{y=\eta}: \frac{\partial\eta}{\partial t} + \nabla\phi \cdot \nabla\eta - \frac{\partial\phi}{\partial y} = 0, \quad y = \eta(\mathbf{x}, t),$$

$$\delta\eta: \frac{\partial\phi}{\partial t} + \frac{1}{2} |\nabla\phi|^2 + g\eta = 0, \quad y = \eta(\mathbf{x}, t).$$

- ▶ We recover the water wave problem by computing variations w.r.t.  $\eta$  and  $\phi$

# GENERALIZATION OF THE LAGRANGIAN DENSITY

D. CLAMOND & D. DUTYKH, PHYS. D (2012) [CD12]

- ▶ Introduce notation (traces):

$\tilde{\phi} := \phi(\mathbf{x}, y = \eta(\mathbf{x}, t), t)$ : quantity at the free surface

$\check{\phi} := \phi(\mathbf{x}, y = -d(\mathbf{x}, t), t)$ : value at the bottom

- ▶ Equivalent form of Luke's lagrangian:

$$\mathcal{L} = \tilde{\phi}\eta_t + \check{\phi}d_t - \frac{1}{2}g\eta^2 + \frac{1}{2}gd^2 - \int_{-d}^{\eta} \left[ \frac{1}{2}|\nabla\phi|^2 + \frac{1}{2}\phi_y^2 \right] dy$$

- ▶ Explicitly introduce the velocity field:  $\mathbf{u} = \nabla\phi$ ,  $v = \phi_y$

$$\mathcal{L} = \tilde{\phi}\eta_t + \check{\phi}d_t - \frac{1}{2}g\eta^2 - \int_{-d}^{\eta} \left[ \frac{1}{2}(\mathbf{u}^2 + v^2) + \boldsymbol{\mu} \cdot (\nabla\phi - \mathbf{u}) + \nu(\phi_y - v) \right] dy$$

$\boldsymbol{\mu}, \nu$ : Lagrange multipliers or pseudo-velocity field

# GENERALIZATION OF THE LAGRANGIAN DENSITY

D. CLAMOND & D. DUTYKH, PHYS. D (2012) [CD12]

- Relaxed variational principle:

$$\begin{aligned}\mathcal{L} = & (\eta_t + \tilde{\mu} \cdot \nabla \eta - \tilde{\nu}) \tilde{\phi} + (d_t + \check{\mu} \cdot \nabla d + \check{\nu}) \check{\phi} - \frac{1}{2} g \eta^2 \\ & + \int_{-d}^{\eta} \left[ \mu \cdot u - \frac{1}{2} u^2 + \nu v - \frac{1}{2} v^2 + (\nabla \cdot \mu + \nu_y) \phi \right] dy\end{aligned}$$

- Classical formulation (for comparison):

$$\mathcal{L} = \tilde{\phi} \eta_t + \check{\phi} d_t - \frac{1}{2} g \eta^2 - \int_{-d}^{\eta} \left[ \frac{1}{2} |\nabla \phi|^2 + \frac{1}{2} \phi_y^2 \right] dy$$

DEGREES OF FREEDOM:  $\eta, \phi; \mathbf{u}, \mathbf{v}; \boldsymbol{\mu}, \boldsymbol{\nu}$

# SHALLOW WATER REGIME

## CHOICE OF A SIMPLE ANSATZ IN SHALLOW WATER

- ▶ Ansatz:

$$\mathbf{u}(\mathbf{x}, y, t) \approx \bar{\mathbf{u}}(\mathbf{x}, t), v(\mathbf{x}, y, t) \approx (y + d)(\eta + d)^{-1} \tilde{v}(\mathbf{x}, t)$$

$$\phi(\mathbf{x}, y, t) \approx \bar{\phi}(\mathbf{x}, t), \nu(\mathbf{x}, y, t) \approx (y + d)(\eta + d)^{-1} \tilde{\nu}(\mathbf{x}, t)$$

- ▶ Lagrangian density:

$$\mathcal{L} = \bar{\phi}\eta_t - \frac{1}{2}g\eta^2 + (\eta + d)\left[\bar{\mu} \cdot \bar{\mathbf{u}} - \frac{1}{2}\bar{\mathbf{u}}^2 + \frac{1}{3}\tilde{\nu}\tilde{v} - \frac{1}{6}\tilde{v}^2 - \bar{\mu} \cdot \nabla \bar{\phi}\right]$$

- ▶ Nonlinear Shallow Water Equations:

$$h_t + \nabla \cdot [h\bar{\mathbf{u}}] = 0,$$

$$\bar{u}_t + (\bar{\mathbf{u}} \cdot \nabla) \bar{\mathbf{u}} + g \nabla h = 0.$$

- ▶ Not so interesting...

# CONSTRAINING WITH FREE SURFACE IMPERMEABILITY

- ▶ Constraint:

$$\tilde{\nu} = \eta_t + \bar{\mu} \cdot \nabla \eta$$

- ▶ Generalized Serre (Green–Naghdi) equations [Ser53]:

$$h_t + \nabla \cdot [h\bar{u}] = 0,$$

$$\begin{aligned}\bar{u}_t + \bar{u} \cdot \nabla \bar{u} + g \nabla h + \frac{1}{3} h^{-1} \nabla [h^2 \tilde{\gamma}] &= (\bar{u} \cdot \nabla h) \nabla (h \nabla \cdot \bar{u}) \\ &\quad - [\bar{u} \cdot \nabla (h \nabla \cdot \bar{u})] \nabla h\end{aligned}$$

$$\tilde{\gamma} = \tilde{\nu}_t + \bar{u} \cdot \nabla \tilde{\nu} = h((\nabla \cdot \bar{u})^2 - \nabla \cdot \bar{u}_t - \bar{u} \cdot \nabla(\nabla \cdot \bar{u}))$$

CANNOT BE OBTAINED FROM LUKE'S LAGRANGIAN:

$$\delta \bar{\mu}: \bar{u} = \nabla \bar{\phi} - \frac{1}{3} \tilde{\nu} \nabla \eta \neq \nabla \bar{\phi}$$

# INCOMPRESSIBILITY AND PARTIAL POTENTIAL FLOW

- ▶ Ansatz and constraints ( $v \neq \phi_y$ ):

$$\bar{\mu} = \bar{u}, \tilde{\nu} = \tilde{v}, \bar{u} = \nabla \bar{\phi}, \tilde{v} = -(\eta + d) \nabla^2 \bar{\phi}$$

$$\mathcal{L} = \bar{\phi} \eta_t - \frac{1}{2} g \eta^2 - \frac{1}{2} (\eta + d) (\nabla \bar{\phi})^2 + \frac{1}{6} (\eta + d)^3 (\nabla^2 \bar{\phi})^2$$

- ▶ Generalized Kaup-Boussinesq equations:

$$\eta_t + \nabla \cdot [(\eta + d) \nabla \bar{\phi}] + \frac{1}{3} \nabla^2 [(\eta + d)^3 (\nabla^2 \bar{\phi})] = 0,$$

$$\bar{\phi}_t + g \eta + \frac{1}{2} (\nabla \bar{\phi})^2 - \frac{1}{2} (\eta + d)^2 (\nabla^2 \bar{\phi})^2 = 0.$$

- ▶ Hamiltonian functional:

$$\mathcal{H} = \int_{\Omega} \left\{ \frac{1}{2} g \eta^2 + \frac{1}{2} (\eta + d) (\nabla \bar{\phi})^2 - \frac{1}{6} (\eta + d)^3 (\nabla^2 \bar{\phi})^2 \right\} d\mathbf{x}$$

# INCOMPRESSIBILITY AND PARTIAL POTENTIAL FLOW

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$$\bar{\phi}_t + g\eta + \frac{1}{2} (\nabla \bar{\phi})^2 - \frac{1}{2} (\eta + d)^2 (\nabla^2 \bar{\phi})^2 = 0.$$

- ▶ Dispersion relation ( $c^2 < 0, \kappa d > 1/\sqrt{3}$ ):

$$\eta = a \cos \kappa(x - ct), \quad c^2 = gd(1 - \frac{1}{3}(\kappa d)^2)$$

# DEEP WATER APPROXIMATION

- ▶ Choice of the ansatz:

$$\{\phi; \mathbf{u}; v; \mu; \nu\} \approx \{\tilde{\phi}; \tilde{u}; \tilde{v}; \tilde{\mu}; \tilde{\nu}\} e^{\kappa(y-\eta)}$$

$$2\kappa\mathcal{L} = 2\kappa\tilde{\phi}\eta_t - g\kappa\eta^2 + \frac{1}{2}\tilde{u}^2 + \frac{1}{2}\tilde{v}^2 - \tilde{u} \cdot (\nabla \tilde{\phi} - \kappa\tilde{\phi}\nabla\eta) - \kappa\tilde{v}\tilde{\phi}$$

- ▶ generalized Klein-Gordon equations:

$$\begin{aligned}\eta_t + \frac{1}{2}\kappa^{-1}\nabla^2\tilde{\phi} - \frac{1}{2}\kappa\tilde{\phi} &= \frac{1}{2}\tilde{\phi}[\nabla^2\eta + \kappa(\nabla\eta)^2] \\ \tilde{\phi}_t + g\eta &= -\frac{1}{2}\nabla \cdot [\tilde{\phi}\nabla\tilde{\phi} - \kappa\tilde{\phi}^2\nabla\eta]\end{aligned}$$

- ▶ Hamiltonian functional:

$$\mathcal{H} = \int_{\Omega} \left\{ \frac{1}{2}g\eta^2 + \frac{1}{4}\kappa^{-1}[\nabla\tilde{\phi} - \kappa\tilde{\phi}\nabla\eta]^2 + \frac{1}{4}\kappa\tilde{\phi}^2 \right\} d\mathbf{x}$$

# DEEP WATER APPROXIMATION

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$$2\kappa\mathcal{L} = 2\kappa\tilde{\phi}\eta_t - g\kappa\eta^2 + \frac{1}{2}\tilde{u}^2 + \frac{1}{2}\tilde{v}^2 - \tilde{u} \cdot (\nabla \tilde{\phi} - \kappa\tilde{\phi}\nabla\eta) - \kappa\tilde{v}\tilde{\phi}$$

- ▶ generalized Klein-Gordon equations:

$$\begin{aligned}\eta_t + \frac{1}{2}\kappa^{-1}\nabla^2\tilde{\phi} - \frac{1}{2}\kappa\tilde{\phi} &= \frac{1}{2}\tilde{\phi}[\nabla^2\eta + \kappa(\nabla\eta)^2] \\ \tilde{\phi}_t + g\eta &= -\frac{1}{2}\nabla \cdot [\tilde{\phi}\nabla\tilde{\phi} - \kappa\tilde{\phi}^2\nabla\eta]\end{aligned}$$

- ▶ Multi-symplectic structure:

$$\mathbb{M}\mathbf{z}_t + \mathbb{K}\mathbf{z}_x + \mathbb{L}\mathbf{z}_y = \nabla_{\mathbf{z}}\mathcal{S}(\mathbf{z})$$

# COMPARISON WITH EXACT STOKES WAVE

- ▶ Cubic Zakharov Equations (CZE):

$$\begin{aligned}\eta_t - \partial \tilde{\phi} &= -\nabla \cdot (\eta \nabla \tilde{\phi}) - \partial(\eta \partial \tilde{\phi}) + \\ &\quad \frac{1}{2} \nabla^2 (\eta^2 \partial \tilde{\phi}) + \partial(\eta \partial(\eta \partial \tilde{\phi})) + \frac{1}{2} \partial(\eta^2 \nabla^2 \tilde{\phi}), \\ \tilde{\phi}_t + g\eta &= \frac{1}{2}(\partial \tilde{\phi})^2 - \frac{1}{2}(\nabla \tilde{\phi})^2 - (\eta \partial \tilde{\phi}) \nabla^2 \tilde{\phi} - (\partial \tilde{\phi}) \partial(\eta \partial \tilde{\phi}).\end{aligned}$$

- ▶ Phase speed :

EXACT:  $g^{-\frac{1}{2}} \kappa^{\frac{1}{2}} c = 1 + \frac{1}{2} \alpha^2 + \frac{1}{2} \alpha^4 + \frac{707}{384} \alpha^6 + O(\alpha^8)$

CZE:  $g^{-\frac{1}{2}} \kappa^{\frac{1}{2}} c = 1 + \frac{1}{2} \alpha^2 + \frac{41}{64} \alpha^4 + \frac{913}{384} \alpha^6 + O(\alpha^8)$

GKG:  $g^{-\frac{1}{2}} \kappa^{\frac{1}{2}} c = 1 + \frac{1}{2} \alpha^2 + \frac{1}{2} \alpha^4 + \frac{899}{384} \alpha^6 + O(\alpha^8)$

- ▶  $n$ -th Fourier coefficient to the leading order:  $\frac{n^{n-2} \alpha^n}{2^{n-1} (n-1)!}$  (the same in gKG & Stokes but not in CZE)

# DEEP-WATER SERRE–GREEN–NAGHDI EQUATIONS

## CONSTRAINING WITH THE FREE-SURFACE IMPERMEABILITY

- ▶ Additional constraint:

$$\tilde{v} = \eta_t + \tilde{\mathbf{u}} \cdot \nabla \eta$$

- ▶ Lagrangian density reads:

$$2\kappa \mathcal{L} = \tilde{\phi} (\kappa \eta_t + \nabla \cdot \tilde{\mathbf{u}}) - g \kappa \eta^2 + \frac{1}{2} \tilde{\mathbf{u}}^2 + \frac{1}{2} (\eta_t + \tilde{\mathbf{u}} \cdot \nabla \eta)^2$$

$$\delta \tilde{\mathbf{u}} : \quad \mathbf{0} = \tilde{\mathbf{u}} + (\eta_t + \tilde{\mathbf{u}} \cdot \nabla \eta) \nabla \eta - \nabla \tilde{\phi},$$

$$\delta \tilde{\phi} : \quad 0 = \kappa \eta_t + \nabla \cdot \tilde{\mathbf{u}},$$

$$\delta \eta : \quad 0 = 2g\kappa\eta + \kappa \tilde{\phi}_t + \eta_{tt} + (\tilde{\mathbf{u}} \cdot \nabla \eta)_t + \nabla \cdot (\tilde{\mathbf{u}} \eta_t) + \nabla \cdot [(\tilde{\mathbf{u}} \cdot \nabla \eta) \tilde{\mathbf{u}}]$$

- ▶ Cannot be derived from Luke's Lagrangian:

$$\nabla \tilde{\phi} = \tilde{\mathbf{u}} + \tilde{v} \nabla \eta$$

# DEEP-WATER SERRE–GREEN–NAGHDI EQUATIONS

## CONSTRAINING WITH THE FREE-SURFACE IMPERMEABILITY

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- ▶ Lagrangian density reads:

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$$\delta \tilde{\mathbf{u}} : \quad \mathbf{0} = \tilde{\mathbf{u}} + (\eta_t + \tilde{\mathbf{u}} \cdot \nabla \eta) \nabla \eta - \nabla \tilde{\phi},$$

$$\delta \tilde{\phi} : \quad 0 = \kappa \eta_t + \nabla \cdot \tilde{\mathbf{u}},$$

$$\delta \eta : \quad 0 = 2g\kappa\eta + \kappa \tilde{\phi}_t + \eta_{tt} + (\tilde{\mathbf{u}} \cdot \nabla \eta)_t + \nabla \cdot (\tilde{\mathbf{u}} \eta_t) + \nabla \cdot [(\tilde{\mathbf{u}} \cdot \nabla \eta) \tilde{\mathbf{u}}]$$

- ▶ Incompressibility is satisfied identically:

$$0 = \kappa \eta_t + \nabla \cdot \tilde{\mathbf{u}} \iff \nabla \cdot \mathbf{u} + v_y = 0$$

# DEEP-WATER SERRE–GREEN–NAGHDI EQUATIONS

## CONSTRAINING WITH THE FREE-SURFACE IMPERMEABILITY

- ▶ Additional constraint:

$$\tilde{v} = \eta_t + \tilde{\mathbf{u}} \cdot \nabla \eta$$

- ▶ Lagrangian density reads:

$$2\kappa \mathcal{L} = \tilde{\phi} (\kappa \eta_t + \nabla \cdot \tilde{\mathbf{u}}) - g \kappa \eta^2 + \frac{1}{2} \tilde{\mathbf{u}}^2 + \frac{1}{2} (\eta_t + \tilde{\mathbf{u}} \cdot \nabla \eta)^2$$

$$\delta \tilde{\mathbf{u}} : \quad \mathbf{0} = \tilde{\mathbf{u}} + (\eta_t + \tilde{\mathbf{u}} \cdot \nabla \eta) \nabla \eta - \nabla \tilde{\phi},$$

$$\delta \tilde{\phi} : \quad 0 = \kappa \eta_t + \nabla \cdot \tilde{\mathbf{u}},$$

$$\delta \eta : \quad 0 = 2g\kappa\eta + \kappa \tilde{\phi}_t + \eta_{tt} + (\tilde{\mathbf{u}} \cdot \nabla \eta)_t + \nabla \cdot (\tilde{\mathbf{u}} \eta_t) + \nabla \cdot [(\tilde{\mathbf{u}} \cdot \nabla \eta) \tilde{\mathbf{u}}]$$

- ▶ Exact dispersion relation if  $k = \kappa$ :

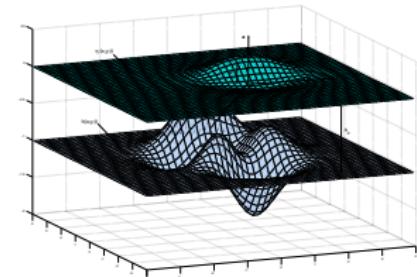
$$\eta = a \cos k(x_1 - ct), \quad c^2 = 2g\kappa(k^2 + \kappa^2)^{-1}$$

# ARBITRARY DEPTH CASE

NO AVAILABLE SMALL PARAMETERS...

- ▶ Finite depth ansatz:

$$\begin{aligned}\phi &\approx \frac{\cosh \kappa Y}{\cosh \kappa h} \tilde{\phi}(\mathbf{x}, t), & \mathbf{u} &\approx \frac{\cosh \kappa Y}{\cosh \kappa h} \tilde{\mathbf{u}}(\mathbf{x}, t), \\ \mu &\approx \frac{\cosh \kappa Y}{\cosh \kappa h} \tilde{\mu}(\mathbf{x}, t), & \nu &\approx \frac{\sinh \kappa Y}{\sinh \kappa h} \tilde{\nu}(\mathbf{x}, t).\end{aligned}$$



- ▶ Finite depth Lagrangian:

$$\begin{aligned}\mathcal{L} = & [\eta_t + \tilde{\mu} \cdot \nabla \eta] \tilde{\phi} - \frac{1}{2} g \eta^2 + [\tilde{\nu} \tilde{v} - \frac{1}{2} \tilde{v}^2] \frac{\sinh(2\kappa h) - 2\kappa h}{2\kappa \cosh(2\kappa h) - 2\kappa} \\ & + [\tilde{\mu} \cdot \tilde{\mathbf{u}} - \frac{1}{2} \tilde{\mathbf{u}}^2 + \tilde{\phi} \nabla \cdot \tilde{\mu} - \kappa \tanh(\kappa h) \tilde{\phi} \tilde{\mu} \cdot \nabla \eta] \frac{\sinh(2\kappa h) + 2\kappa h}{2\kappa \cosh(2\kappa h) + 2\kappa} \\ & + \frac{1}{2} \tilde{\phi} \tilde{\nu} \left[ \frac{2\kappa h}{\sinh(2\kappa h)} - 1 \right].\end{aligned}$$

# CONCLUSIONS & PERSPECTIVES

## CONCLUSIONS:

- ▶ A relaxed variational principle was presented
- ▶ Practical usage of this principle was illustrated
- ▶ All models automatically possess the Lagrangian structure
  - ▶ In most cases the Hamiltonian as well!



## PERSPECTIVES:

- ▶ Further validation of derived models is needed
- ▶ Deeper study of their properties
- ▶ Development of variational discretizations

Thank you for your attention!



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