

Universal Algebra for CSP Ross Willard

6/29/11

$$\text{IK}_3 = (A, \#_A) \quad A = \{0, 1, 2\}$$

$$G_1 = (2; \{R_{abc} : abc \in \{0, 1, 2\}^3\}) \quad R_{abc} = 2^3 \setminus \{(a, b, c)\}$$

(gives 3 sat)

$G_1$  is pp-constructible in  $\text{IK}_3$  ( $G_1 \leq_{ppc} \text{IK}_3$ )

PF Sketch: Will define (on  $\text{IK}_3$ )

$$F \subseteq A^9$$

$$E \subseteq A^{18} \text{ (an equiv. rel. on } F)$$

$$\text{For } a, b, c \quad S_{abc} \subseteq A^{27} \quad (S_{abc} \subseteq F^3)$$

$F$  will have exactly two  $E$ -blocks  $O, I$

~~$F \text{ s.t. } S_{abc} = \{(x_1, \dots, x_9, y_1, \dots, y_9, z_1, \dots, z_9) \in A^{27} : x_i \in F \text{ and } y_i \in F \text{ and } z_i \in F \text{ and } \dots\}$~~

$$\text{e.g. } S_{0,0} = \left\{ (x_1, \dots, x_9, y_1, \dots, y_9, z_1, \dots, z_9) \in A^{27} : \begin{array}{l} x_i \in F \text{ and } y_i \in F \text{ and } z_i \in F \\ \text{and } \dots \end{array} \right\}$$

$$\text{Then } (F/E; \{S_{abc}/E : abc \in 2^3\}) \cong G_1$$

$\frac{11}{\{0, I\}^3}$

Finally, show each of  $F, E, S_{abc}$  are pp-definable in  $\text{IK}_3$ .

$$A^9 \equiv A^{3 \times 3}$$

$$O = \left\{ \begin{bmatrix} 0 & 0 & 0 \\ 1 & 1 & 1 \\ 2 & 2 & 2 \end{bmatrix}, \begin{bmatrix} 0 & 0 & 0 \\ 2 & 2 & 2 \\ 1 & 1 & 1 \end{bmatrix}, \begin{bmatrix} 1 & 1 & 1 \\ 0 & 0 & 0 \\ 2 & 2 & 2 \end{bmatrix}, \dots \right\}$$

$$I = \left\{ \begin{bmatrix} 0 & 1 & 2 \\ 0 & 1 & 2 \\ 0 & 1 & 2 \end{bmatrix}, \begin{bmatrix} 1 & 0 & 2 \\ 1 & 0 & 2 \\ 1 & 0 & 2 \end{bmatrix}, \dots \right\}$$

$$F = O \cup I.$$

$$E = O^* O \cup I^* I$$

$S_{abc}$  defined as explained earlier.

Show  $F, E, S_{abc}$  pp-def in  $\text{IK}_3$ .

$F$  is the free algebra on 2 generators in  $\text{HSP}(\text{PolAlg } \text{IK}_3)$ .  
Elements of  $F$  are functions  $A^2 \rightarrow A$ .

$F = \{2\text{-ary polymorphisms of } \mathbb{K}_3\}$

are homomorphisms  $\mathbb{K}_3^2 \rightarrow \mathbb{K}_3$

Defn of  $F$ :  $[x_{ij}]_{3 \times 3} \in F$  iff

$$\bigwedge_{i \neq i', j \neq j'} x_{ij} \neq x_{i'j'} \quad (\text{a pp-formula of } \mathbb{K}_3)$$

Show  $E$  is pp-definable in  $\mathbb{K}_3$ .

First show  $E$  is compatible with  $\text{Pol}(\mathbb{K}_3)$

Pick  $\sigma \in \text{Pol}(\mathbb{K}_3)$ . wlog  $\text{arity}(\sigma) = 1$  and  $\sigma$  is a permutation of  $A$ .

Suppose

$$\left( \begin{bmatrix} x_{11} & x_{12} \\ \vdots & \vdots \end{bmatrix}, \begin{bmatrix} y_{11} & y_{12} \\ \vdots & \vdots \end{bmatrix} \right) \in E$$

$$\text{Apply } \sigma: \left( \begin{bmatrix} \sigma(x_{11}) & \sigma(x_{12}) \\ \vdots & \vdots \end{bmatrix}, \begin{bmatrix} \sigma(y_{11}) & \sigma(y_{12}) \\ \vdots & \vdots \end{bmatrix} \right) \in E$$

Obviously true since  $O, I$  both stable under  $\sigma$ .

By the Thm (Bod/Geiger)  $E$  is pp-definable in  $\mathbb{K}_3$ .

Similar argument works for  $S_{abc}$ .

If you want explicit formulas

Recipe:  $|E| = 72$

Guarantees a pp-formula defining  $E$  with  $3^{72}$  variables

$|S_{abc}| = 7 \cdot 6^3$  ~~variables~~ <sup>3^{72}</sup> variables in recipe-formula for  $S_{abc}$ .

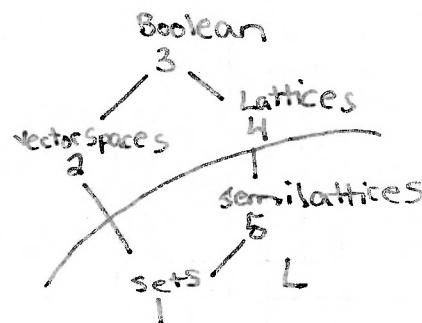
### Tame Congruence Theory (TCT)

Hobby, McKenzie

For finite algebras

$A \mapsto$  set of types

$\emptyset \neq \text{type}(A) \subseteq \{1, \dots, 5^3\}$



To get

HSP

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thes  
rob

Focus

Le

HST

(a) H

↓

(b) HS

III

↓

(c) L

↓

(d) K

↓

(e) Z

cor

"K"

↓

(f) Z

vi

To each downset  $L$  in poset on previous page  
get a dichotomy:

$\forall$  finite  $A$ , either

$HSP(A)$  admits some type from  $L$ .

OR

$HSP(A)$  omits all types from  $L$

$HSP(A)$  admits some type from  $L$

$\exists \exists$  some structure of some kind compatible with a ~~word~~ member of  $HSP(A)$

$HSP(A)$  omits all types from  $L$

$\exists \exists$  some identities which can be satisfied in the clone of  $A$ .

"A Maltsev condition"

These dichotomies from TCT are - surprisingly robust

- relevant to complexity of CSPs
- Gave us the Alg. Dichot. Conj.

Focus on the dichotomy for "omitting type!"

Let  $G$  be any finite idempotent relational structure,  
 $A = \text{PolAlg}(G)$  (an idempotent algebra).

$HSP(A)$  admits 1

(i)  $HS(A)$  contains  $(2; \emptyset)$

(ii)  $HSP(A)$  contains  $(2; \emptyset)$

III

(a)  $G \leq_{PPC} G$   
using example

(3)  $K_3 \leq_{PPC} G$

(4)  $\exists$  graph  $T$  with ~~other~~  
core( $T$ ) =  $K_3$   $T \leq_{PPC} G$   
"K<sub>3</sub>-partitionability"

(5)  $\exists$  graph  $T$  containing  $K_3$   
with  $T \leq_{PPC} G$

$HSP(A)$  omits 1

(a)  $A$  satisfies Maltsev condition  
not satisfiable in  $(2; \emptyset)$

(b)  $A$  has a Taylor operation  
(in some # of variables)  
 $f(x, \dots, x)$  idempotent  
 $f(x, \dots, x) \approx x$  + is it  $\exists$  some  
identity so that  $\forall x \exists y \forall y$   
 $f(\overbrace{x, \dots, x}^{\text{variables}}, \overbrace{y, \dots, y}^{\text{variables}}) \approx f(\overbrace{x, \dots, x}^{\text{variables}}, \overbrace{y, \dots, y}^{\text{variables}})$

Ex maltsev op

$$f(x, x, y) \approx y \quad f(x, y, y) \approx x$$

$$f(3, 3, y) \quad f(x, x, x)$$

↓  
-(6)  $\exists$  non-bipartite graph  
 $T, T \leq_{ppc} M_3(G)$

Bulatov's proof  
Hedl-Nesetril Thm

(c)  $\exists$  weak NU (near unanimity)  
operation (of some arity)

$$\text{NU: } f(x, \dots, x, y) = x \\ f(y, x, \dots, x) = x \\ f(x, y, x, \dots, x) = x$$

weak NU (wNU):

$$f(x, x, \dots, x) \approx x \\ f(x, x, \dots, x, y) \approx x \\ f(y, x, \dots, x) \approx x \\ f(x, y, x, \dots, x) \approx x$$

(d)  $\exists$  cyclic op (of some arity)

$$f(x, \dots, x) \approx x \\ f(x, x_1, \dots, x_n) \approx f(x_1, \dots, x_n, x_1) \approx \dots$$

(e)  $\forall$  prime  $p > 1$   $\exists$  cyclic op. of arity  $p$ .

(f)  $\exists$  Siggers operation:

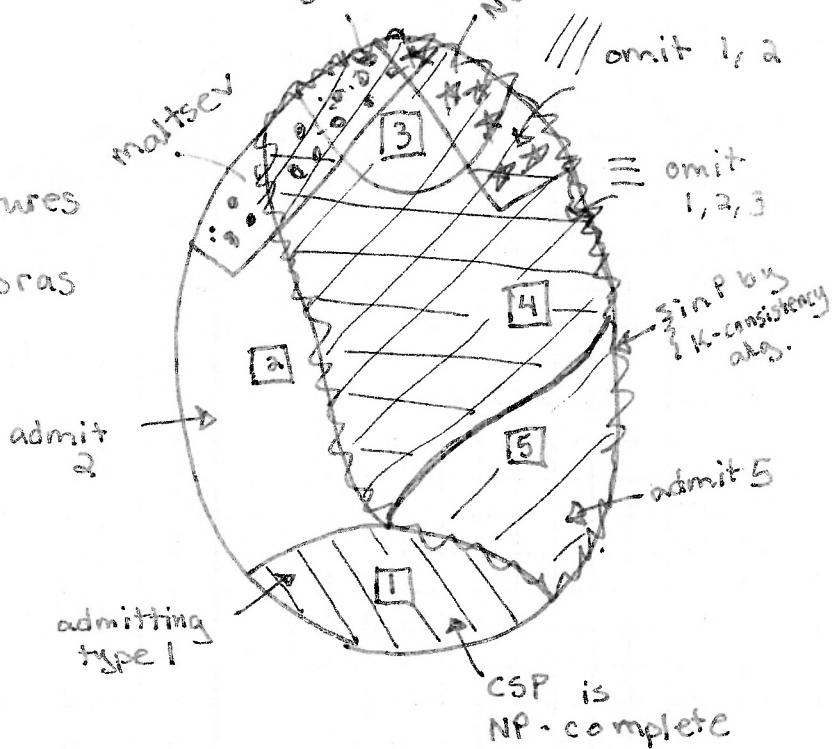
6-ary idempotent op

$$f(x, y, z, xy, xz) \approx f(y, z, x, zx, xy)$$

(b)

Exercise] Show (f)  $\equiv \neg(5)$  (Hint: Like Maltsev's Thm)

omit 1, 2, 4, 5, 7



All

finite idempotent structures

III

finite idempotent algebras

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Pf of