

# WARING'S PROBLEM OVER FINITE FIELDS

Todd Cochrane

Department of Mathematics  
Kansas State University  
Visitor, Georgia Institute of Technology

Let  $p$  be a prime,  $\mathbb{F}_p = \mathbb{Z}/(p)$ , and  $k$  a positive integer.

**Definition.** Waring's number  $\gamma(k, p)$  is the smallest  $s$  such that for any integer  $a$  the congruence

$$x_1^k + x_2^k + \cdots + x_s^k \equiv a \pmod{p}$$

is solvable in integers  $x_i$ . (Note:  $x_i = 0$  is allowed.)

- Plainly,  $\gamma(k, p)$  exists and  $\gamma(k, p) \leq p - 1$ , since 1 is a  $k$ -th power.
- We may assume  $k|(p - 1)$  since if  $d = (k, p - 1)$  then clearly  $\gamma(d, p) = \gamma(k, p)$ .

For any subsets  $S, T$  of  $\mathbb{F}_p$  and  $n \in \mathbb{N}$ ,

$$S + T := \{s + t : s \in S, t \in T\},$$

$$nS := S + S + \cdots + S, \quad (\text{n-times})$$

$$\gamma(S, p) := \text{minimal } n \text{ such that } nS = \mathbb{F}_p.$$

For Waring's Number we have,  $\gamma(k, p) = \gamma(A_0, p)$ , where

$$A_0 = \{x^k : x \in \mathbb{F}_p\}.$$

Throughout, we let  $A$  denote the multiplicative group of nonzero  $k$ -th powers and  $A_0 = A \cup \{0\}$ .

**Waring's Problem: Estimate  $\gamma(k, p)$  and, when possible, evaluate it.**

Methods:

I. Additive Combinatorics.

II. Finite Circle Method: Exponential Sums

III. Geometric Lattice Method.

$$A = \{x^k : x \in \mathbb{F}_p^*\}, \quad A_0 = A \cup \{0\}.$$

$$nA_0 = A\omega_1 \cup A\omega_2 \cdots \cup A\omega_\ell \cup \{0\}$$

If  $nA_0 \neq \mathbb{F}_p$  then  $|(n+1)A_0| \geq |nA_0| + |A|$ , so

$$|nA_0| \geq \min\{p, n|A| + 1\} = \min\{p, n^{\frac{p-1}{k}} + 1\}.$$

## THEOREM 1 (CAUCHY'S BOUND (1813))

For any prime  $p$  and positive integer  $k$ ,

$$\gamma(k, p) \leq k.$$

This bound is sharp if  $|A| = 1$  or  $2$ , that is,  $k = p - 1$  or  $(p - 1)/2$ . In these cases  $A_0$  is an arithmetic progression,  $\{0, 1\}$ ,  $\{-1, 0, 1\}$ , so  $nA_0$  grows very slowly.

## LEMMA 2 (CAUCHY-DAVENPORT INEQUALITY (1813), (1935))

For any subsets  $S, T$  of  $\mathbb{F}_p$ , we have

$$|S + T| \geq \min\{|S| + |T| - 1, p\}.$$

Thus, for any subset  $S$  of  $\mathbb{F}_p$ ,

$$|nS| \geq \min\{n(|S| - 1) + 1, p\}.$$

## THEOREM 3 (GENERALIZED CAUCHY BOUND)

For any subset  $S$  of  $\mathbb{F}_p$  with  $|S| > 1$ ,

$$\gamma(S, p) \leq \left\lceil \frac{p-1}{|S|-1} \right\rceil.$$

Such a bound is best possible if  $S$  is an arithmetic progression.

Note: For the case of the  $k$ -th powers,  $|A_0| = \frac{p-1}{k} + 1$ , so we recover  $\gamma(k, p) \leq k$ .

## THEOREM 4 (S. CHOWLA, MANN AND STRAUSS (1959))

*If  $A$  is the group of nonzero  $k$ -th powers and  $|A| > 2$ , then*

$$\gamma(k, p) \leq \lfloor k/2 \rfloor + 1$$

Proof. For a multiplicative subgroup  $A$  we have in fact

$$|nA| \geq \min\{(2n - 1)(|A| - 1) + 1, p\},$$

as a consequence of Vosper's Theorem and fact that  $A$  is not an arithmetic progression, in fact, it's a geometric progression.

## EXAMPLE 5

(i) For  $k = 2$ ,  $\gamma(2, p) = 2$  for all odd  $p$ .

(ii) For  $k = 3$ ,  $3|(p - 1)$

$$\gamma(3, p) = \begin{cases} 2 & \text{for } |A| > 2, \quad \text{i.e. } p > 7 \quad (\text{CMS}) \\ 3 & \text{for } |A| = 1, 2, \quad \text{i.e. } p = 7 \end{cases}$$

(iii) For  $k = 4$ ,  $4|(p - 1)$ ,

$$\gamma(4, p) \leq 3 \quad \text{for } |A| > 2, \quad \text{i.e. } p > 5 \quad (\text{CMS})$$

$$\gamma(4, p) = 4 \quad \text{for } |A| = 1, 2, \quad \text{i.e. } p = 5$$

$G(k)$  = minimal  $s$  such that every sufficiently large positive integer is a sum of at most  $s$  positive  $k$ -th powers.

**Lower Bounds:** Maillet, Hurwitz, Hardy and Littlewood

$G(k) \geq k + 1$  for any  $k > 1$ . (density argument)

$G(k) \geq 4k$  for  $k = 2^n, n \geq 2$ . (congruence constraint)

**Upper Bounds:**

Hardy and Littlewood (1922):  $G(k) \leq (k - 2)2^{k-1} + 5$   
(circle-method)

Improvements: Hardy & Littlewood (1925), Vinogradov (1934-1959), K-C Tong (1957), J-R Chen (1958), Vaughan (1989)

Wooley (1992):  $G(k) \leq k(\log k + \log \log k + O(1))$

In the classical problem the only known values of  $G(k)$  are

$$G(2) = 4, \quad \text{Lagrange's Theorem (1770)}$$

$$G(4) = 16, \quad \text{Davenport (1939).}$$

$$3 \leq G(3) \leq 7, \quad 6 \leq G(5) \leq 17, \quad \text{etc.}$$

For Waring's problem over  $\mathbb{F}_p$ , we'll see that

$$\gamma(k, p) = \begin{cases} 1 \text{ or } 2 & \text{for } p > k^4 \\ 1, 2 \text{ or } 3 & \text{for } p > k^3 \\ \text{etc.} & \end{cases}$$

thus, if we fix a small value for  $k$ , eg.  $k = 4, 5, \dots$ ,  $\gamma(k, p)$  can be explicitly evaluated by testing small primes; see C. Small (1977), Moreno (2005) for tables of such values.

We've seen that for  $|A| = 1, 2$ ,  $\gamma(k, p) = k$ , and that for  $|A| > 2$ ,  $\gamma(k, p) < \frac{k}{2} + 1$ . Can we do better?

## EXAMPLE 6

Suppose that  $S = \{0, 1, a\}$  with  $a \approx \sqrt{p}$ . Then for  $n < \min\{a, p/a\}$ ,  $|nS| = \binom{n+2}{2}$ . Letting  $n \approx \sqrt{p}$  we get  $|[\sqrt{p}]S| > p/2$ , and so  $2[\sqrt{p}]S = \mathbb{F}_p$ , that is,

$$\sqrt{2p} < \gamma(S, p) < 2\sqrt{p}, \quad (\text{roughly})$$

## Heilbronn Conjectures (1964):

I: For  $|A| > 2$ ,  $\gamma(k, p) \ll k^{1/2}$ .

II: For any  $\varepsilon > 0$ ,  $\gamma(k, p) \ll_{\varepsilon} k^{\varepsilon}$  for  $|A| > c_{\varepsilon}$ .

Let  $A = \{x^k : x \in \mathbb{F}_p^*\}$ .

### EXAMPLE 7

Suppose  $|A| = 4$ , say  $A = \{\pm 1, \pm \alpha\}$  where  $\alpha^2 \equiv -1 \pmod{p}$ . To represent  $c$  as a minimal sum of  $k$ -th powers we need to solve

$$x + y\alpha \equiv c \pmod{p} \quad (1)$$

with  $|x| + |y|$  minimal. Let  $\mathcal{L}$  be the lattice of integer points satisfying  $x + y\alpha \equiv 0 \pmod{p}$ . Inside any fundamental parallelogram for the lattice, (2) has a unique solution.

Note: Since  $|A| = 4$ ,  $4|(p-1)$  so  $p = a^2 + b^2$  for some  $a, b$ , and  $\{(a, b), (-b, a)\}$  is a basis for  $\mathcal{L}$ .

Let  $A$  be a multiplicative subgroup of  $\mathbb{F}_p$ .

**THEOREM 8 (CIPRA, PINNER, C (2007))**

a) Suppose  $|A| = 4$  and  $a, b$  are the unique positive integers with  $a > b$  and  $a^2 + b^2 = p$ . Then  $\gamma(k, p) = a - 1$ .

b) Suppose  $|A| = 3$  or  $6$ , and  $a, b$  are the unique positive integers with  $a > b$  and  $a^2 + b^2 + ab = p$ . If  $|A| = 3$ ,  $\gamma(k, p) = a + b - 1$ . If  $|A| = 6$ ,  $\gamma(k, p) = \lfloor \frac{2}{3}a + \frac{1}{3}b \rfloor$ .

In particular, for  $|A| = 3, 4, 6$ , that is,  $k = \frac{p-1}{3}, \frac{p-1}{4}, \frac{p-1}{6}$ ,

$$\sqrt{2k} - 1 \leq \gamma(k, p) \leq 2\sqrt{k},$$

More generally if  $A = \{1, \alpha, \alpha^2, \dots, \alpha^{t-1}\}$ , then we need to obtain a minimal solution of

$$\sum_{i=0}^{t-1} x_i \alpha^i \equiv a \pmod{p}.$$

Since  $\alpha$  is a zero of the cyclotomic polynomial of degree  $r := \phi(t)$  we work instead with a lattice in  $r$ -dim space defined by

$$x_0 + x_1 \alpha + \dots + x_{r-1} \alpha^{r-1} \equiv 0 \pmod{p}.$$

and make use of the cyclotomic polynomial to construct a basis of "small" solutions.

The lattice method leads to a small solution, but with the  $x_i$  positive or negative.

**Plus-Minus Waring's Number:** Let  $\delta(k, p)$  be the smallest  $s$  such that

$$\pm x_1^k \pm x_2^k + \cdots \pm x_s^k \equiv a \pmod{p},$$

is solvable for all  $a$ .

The lattice method leads to a bound on  $\delta(k, p)$ . To estimate  $\gamma(k, p)$  we use

**LEMMA 9 (CIPRA, PINNER, C (2009))**

*For any group of  $k$ -th powers  $A$  in  $\mathbb{F}_p^*$ ,  
 $\gamma(k, p) \leq \min\{|A|, 2 \log(\log p)\} \delta(k, p)$ .*

**Open Problem 1:** Is there an absolute constant  $C$  such that  
 $\gamma(k, p) < C\delta(k, p)$ ?

The geometric lattice method yields,

**THEOREM 10 (HEILBRONN (1964), BOVEY (1977))**

*Let  $A$  be a multiplicative subgroup of  $\mathbb{F}_p$  with  $|A| = t$ . Then*

$$\gamma(k, p) \leq c(t)k^{1/\phi(t)}.$$

- The estimate is useful for  $|A| < \log p$
- Bovey:  $c(t) = t^2(H_t + 1)^{\phi(t)} \log p$ , where  $H_t$  = Height of the  $t$ -th order cyclotomic polynomial.

# What further is known about $c(t)$ in Heilbronn, Bovey Theorem?

$$\gamma(k, p) \leq c(t)k^{1/\phi(t)}, \quad t := |A|$$

## **THEOREM 11 (PINNER, C (2008))**

For prime power  $t$ ,

$$c(t) < 2 t^3.$$

Proof. Geometric Lattice Method

## **THEOREM 12 (CROOT, C (2011))**

For odd  $t$ , with  $\phi(t) < (\log_3 t)^{1/3}$ ,

$$c(t) < t^4$$

Proof. Additive combinatorics.

## **THEOREM 13 (KONYAGIN (1994), CIPRA, PINNER, C (2007))**

$c(t) \ll t^{2+\epsilon}$  for  $t > \log p$ .

Proof. Exponential sums

$$\gamma(k, p) \leq c(t)k^{1/\phi(t)}, \quad t := |A|$$

### **THEOREM 14 (CIPRA, PINNER, C (2007))**

*For any  $t$ ,  $c(t) \gg \frac{1}{\sqrt{\log t}}$*

### **THEOREM 15 (CIPRA (2008))**

*For prime  $t$ ,  $c(t) > t/3$ .*

**Open Problem 2:** What is the correct size for  $c(t)$  for a general group of order  $t < \log p$ ?

**Heilbronn Conjectures (1964):**  $A =$  nonzero  $k$ -th powers

**I:** For  $|A| > 2$ ,  $\gamma(k, p) \ll k^{1/2}$ .

**II:** For any  $\varepsilon > 0$ ,  $\gamma(k, p) \ll_{\varepsilon} k^{\varepsilon}$  for  $|A| > c_{\varepsilon}$ .

In view of the cases  $|A| = 3, 4, 6$  the exponent  $1/2$  in the first conjecture is optimal.

- Conjecture II was proven by Konyagin (1992).
- Conjecture I was proven by Cipra, Pinner, C (2007).

Both proofs use a Heilbronn-Bovey type bound for small  $|A|$  and exponential sums for large  $|A|$ .

Earlier progress: For  $|A| > 2$ ,

I. Chowla (1943):  $\gamma(k, p) \ll k^{.88}$

Dodson (1971):  $\gamma(k, p) \ll k^{7/8}$

Dodson and Tietaväinen (1976):  $\gamma(k, p) \leq 68(\log k)^2 k^{1/2}$

## Finite Circle Method: Exponential Sums

Let  $e_p(x) = e^{2\pi ix/p}$ . Gauss Sum:  $\sum_{x=0}^{p-1} e_p(\lambda x^k)$ . Define

$$\Phi_k := \max_{\lambda, p \nmid \lambda} \left| \sum_{x=0}^{p-1} e_p(\lambda x^k) \right|.$$

$$N := \#\{\mathbf{x} \in \mathbb{F}_p^s : x_1^k + \cdots + x_s^k = a\}.$$

$$\begin{aligned} N &= \frac{1}{p} \sum_{\mathbf{x} \in \mathbb{F}_p^s} \sum_{\lambda=0}^{p-1} e_p(\lambda(x_1^k + \cdots + x_s^k - a)) \\ &= p^{s-1} + \frac{1}{p} \sum_{\lambda=1}^{p-1} e_p(-\lambda a) \left( \sum_{x=1}^p e_p(\lambda x^k) \right)^s. \end{aligned}$$

$$\Rightarrow |N - p^{s-1}| < \Phi_k^s$$

We just want  $N > 0$  for Waring's problem, that is,  $p^{s-1} \geq \Phi_k^s$ ,  
 $(s-1) \log p \geq s \log \Phi_k$ ,  $s \geq \log p / \log(p/\Phi_k)$ .

$$\Phi_k = \max_{\lambda, p \nmid \lambda} \left| \sum_{x=1}^p e_p(\lambda x^k) \right|.$$

## PROPOSITION 0.1 (UNKNOWN ORIGIN)

$$\gamma(k, p) \leq \left\lceil \frac{\log p}{\log(p/\Phi_k)} \right\rceil.$$

In particular,

- If  $\Phi_k \leq (1 - \epsilon)p$  then  $\gamma(k, p) \ll_{\epsilon} \log p$
- If  $\Phi_k \leq p^{1-\epsilon}$  then  $\gamma(k, p) \leq \lceil \frac{1}{\epsilon} \rceil$

Gauss bound:  $\Phi_k \leq (k-1)\sqrt{p}$ ,

$$|A| > p^\lambda > p^{1/2} \Rightarrow \gamma(k, p) \leq \frac{1}{\lambda - \frac{1}{2}}$$

## EXAMPLE 16

Applying the Gauss bound we see that if  $|A| > p^{3/4}$ , then  $\gamma(k, p) \leq 4$ . Equivalently, using  $|A| = (p-1)/k$ ,

$$\gamma(k, p) \leq 4 \quad \text{for } p > k^4.$$

This falls a little short of the estimate we stated earlier  $\gamma(k, p) \leq 2$  for  $p > k^4$ .

**THEOREM 17 (KONYAGIN (1994))**

For  $|A| > \log p / (\log \log p)^{1-\epsilon}$ ,

$$\Phi_k \leq p \left( 1 - \frac{c_\epsilon}{(\log p)^{1+\epsilon}} \right),$$

$$\gamma(k, p) \leq c_\epsilon (\log p)^{2+\epsilon}$$

where  $t = |A|$ ,  $\phi(t)$  is the Euler phi-function.

Proof. Harmonic analysis, cyclotomic extensions, Dobrowolski's bound on Mahler Measure. Basically, show that the elements of  $A$  cannot be too clustered, so we get some cancellation in sum.

- Konyagin (1994): For any  $C > 0$  there exist infinitely many  $A$  with  $|A| > C \frac{\log p}{\log \log p}$  and  $\gamma(k, p) \geq (\log p)^C$ . Thus the theorem above is nearly best possible.

**Conjecture:** Konyagin (1994): For  $|A| > \log p$ ,  $\gamma(k, p) \ll \log p$ .

## EXAMPLE 18

Let  $p = 2^t - 1$  be a Mersenne prime,

$A = \langle 2 \rangle$ , so that  $|A| = t$ .

For Waring's problem we wish to solve, for a given  $c$ ,

$$x_0 + x_1 2 + x_2 2^2 + x_3 2^3 + \cdots + x_{t-1} 2^{t-1} \equiv c \pmod{p}$$

in nonnegative integers  $x_i$ . Any minimal representation of  $c$  must have all  $x_i = 0$  or 1 and so

$$\gamma(k, p) = t - 1 \approx \log_2 p.$$

Thus we have a group  $A$  with  $|A| \approx \log_2 p$  and  $\gamma(k, p) \approx \log_2 p$ .

## THEOREM 19 (BOURGAIN, KONYAGIN (2003))

If  $|A| > p^\epsilon$  then

$$\Phi_k \ll p^{1-\delta}, \quad \text{for some } \delta = \delta(\epsilon),$$

Proof. Additive combinatorics/Harmonic Analysis including the the Balog, Szemerédi, Gower's Theorem (1994),(1998).

## THEOREM 20 (BOURGAIN (2009))

If  $|A| > p^\epsilon$  then

$$\Phi_k \leq p^{1-e^{-c/\epsilon}}$$

for some absolute constant  $c$ .

## COROLLARY 21

There is an absolute constant  $C$  such that for  $|A| > p^\epsilon$ ,

$$\gamma(k, p) \leq C^{1/\epsilon},$$

**Conjecture:** Montgomery, Vaughan, Wooley (1995)

$$\Phi_k \ll \sqrt{kp \log(kp)}$$

**Companion Conjecture:** If  $A$  is the group of  $k$ -th powers with  $|A| > \log p$ , then

$$\gamma(k, p) \ll \frac{\log p}{\log\left(\frac{|A|}{\log p}\right)}.$$

In particular, this would imply the Konyagin conjecture,

$$\gamma(k, p) \ll \log p \quad \text{for } |A| \gg \log p,$$

**and** imply that

$$\gamma(k, p) \ll \frac{1}{\epsilon}, \quad \text{for } |A| > p^\epsilon,$$

Note, the preceding example with the Mersenne prime and  $A = \langle 2 \rangle$ , shows that the conjectured bound of MVW cannot be sharpened.

For  $S \subset \mathbb{F}_p$  let

$$S \cdot S = \{xy : x, y \in S\}, \quad S + S = \{x + y : x, y \in S\}.$$

**THEOREM 22 (BOURGAIN AND KONYAGIN (2003), BOURGAIN, KATZ, TAO (2004))**

For  $\epsilon > 0$  there is a  $\delta = \delta(\epsilon) > 0$  such that if  $|S| < p^{1-\epsilon}$ ,

$$\max\{|S \cdot S|, |S + S|\} \geq |S|^{1+\delta}.$$

Improvements by Garaev (2008), Katz and Shen (2008), Shen (2008), Bourgain and Garaev (2009)

**THEOREM 23 (L. LI (2009))**

For any subset  $S$  of  $\mathbb{F}_p$ ,

$$\max\{|S \cdot S|, |S + S|\} \gg \begin{cases} |S|^{13/12} \left(1 + \frac{|S|}{\sqrt{p}}\right), & |S| < p^{35/68} \\ |S| \left(\frac{p}{|S|}\right)^{1/11}, & |S| > p^{35/68}. \end{cases}$$

For  $A$ , a multiplicative subgroup,  $A \cdot A = A$ , so we must have good growth in  $A + A$ .

**THEOREM 24 (HEATH-BROWN AND KONYAGIN (2000),  
BOURGAIN AND KONYAGIN (2003), PINNER, C (2009))**

$$|A + A| > \min\left\{\frac{1}{4}|A|^{3/2}, p/2\right\}.$$

Proof. Stepanov method.

**THEOREM 25 (SHKREDOV (2010), SCHOEN AND SHKREDOV  
(2010), SHKREDOV AND VYUGIN (2011))**

$$|A + A| \gg |A|^{5/3}(\log |A|)^{-1/2}, \quad \text{for } |A| \ll \sqrt{p}.$$

Proof: Stepanov, Additive combinatorics, Harmonic Analysis

Definition: For any subset  $S$  let  $S^2 = SS$

$$nS^2 = SS + SS + \cdots + SS. \quad (n - \text{times})$$

## THEOREM 26 (BOURGAIN (2005))

If  $S \subset \mathbb{F}_p$  with  $|S| > p^{3/4}$  then  $3S^2 = \mathbb{F}_p$ .

## THEOREM 27 (PINNER, C (2009))

For any subsets  $S, T$  of  $\mathbb{F}_p$  and positive integer  $n$ ,

$$|S||T|^{1-\frac{2}{n}} > p \quad \Rightarrow \quad nST = \mathbb{F}_p.$$

Proof. Use exponential sums to count the number  $N$  of solutions of the equation

$$x_1y_1 + x_2y_2 + \cdots + x_ny_n = a.$$

with  $x_i \in S, y_i \in T, 1 \leq i \leq n$ , obtaining

$$\left| N - \frac{|S|^n |T|^n}{p} \right| < |S|^{\frac{n}{2}+1} |T|^{\frac{n}{2}} p^{\frac{n}{2}-1}.$$

The previous theorem requires  $|S||T| > p^{1+\epsilon}$  to be effective.

**THEOREM 28 (GLIBICHUK (2006), GLIBICHUK AND KONYAGIN (2007))**

*For any subsets  $S, T$  of  $\mathbb{F}_p$  with  $|S||T| \geq 2p$  we have  $8ST = \mathbb{F}_p$ .*

Proof. Additive Combinatorics. If  $|S||T| \geq p$  then there exists an  $x \in \mathbb{F}_p$  with

$$|S + xT| > p/2 \quad \text{and} \quad |S - xT| > p/2.$$

For any symmetric ( $S = -S$ ) or antisymmetric ( $S \cap -S = \emptyset$ ) set  $S$ , and arbitrary  $T$  it suffices to have  $|S||T| \geq p$ .

$A$  is group of  $k$ -th powers and  $|A| > \sqrt{p}$  we see that  $8A^2 = \mathbb{F}_p$ , that is,  $\gamma(k, p) \leq 8$ .

Estimation of  $|nA|$ :

1. Obtain good growth for  $nA - nA$ . (This leads to an upper bound for  $\delta(k, p)$ .)
2. Use Ruzsa's triangle inequality to get good growth for  $nA$ .

Ruzsa's triangle inequality: For any  $S, T \subseteq \mathbb{F}_p$

$$|S + T| \geq |S|^{1/2} |T - T|^{1/2},$$

Iterate:

$$|2A| \geq |A|^{1/2} |A - A|^{1/2}$$

$$|4A| \geq |A|^{1/4} |A - A|^{1/4} |2A - 2A|^{1/2}$$

$\vdots$

## LEMMA 29 (GLIBICHUK, KONYAGIN (2007))

For  $X, Y \subset \mathbb{F}_p$  with  $|Y| > 1$  and  $\frac{X-X}{Y-Y} \neq \mathbb{F}_p$  we have

$$|2XY - 2XY + Y^2 - Y^2| \geq |X||Y|.$$

### PROOF.

If  $\frac{X-X}{Y-Y} \neq \mathbb{F}_p$  then there exist  $x_1, x_2 \in X, y_1, y_2 \in Y$  such that  $\frac{x_1-x_2}{y_1-y_2} + 1 \notin \frac{X-X}{Y-Y}$ . But then the mapping from  $X \times Y$  into  $2XY - 2XY + Y^2 - Y^2$  given by

$$(x, y) \rightarrow (y_1 - y_2)x + (x_1 - x_2 + y_1 - y_2)y,$$

is one-to-one and the lemma follows. □

## Growth of $|nA - nA|$ for multiplicative subgroup $A$ .

Let  $a_k = \frac{4^k+8}{6}$ .

**THEOREM 30 (GLIBICHUK AND KONYAGIN (2007), CIPRA, PINNER, C (2009))**

For  $k \geq 3$

$$|a_k A - a_k A| \geq \min\{\lambda^2 |A|^k, 3^{3/7} p^{4/7}\}.$$

$$|A - A| \geq \min\left\{\frac{1}{4} |A|^{3/2}, p/2\right\}$$

$$|3A - 3A| \geq \min\{|A|^2, 2p^{2/3}\}$$

$$|12A - 12A| \geq \min\left\{\frac{1}{16} |A|^3, 3^{3/7} p^{4/7}\right\}$$

$$|44A - 44A| \geq \min\left\{\frac{1}{16} |A|^4, 3^{3/7} p^{4/7}\right\}$$

$$n_k = \frac{2}{3}4^{k+1} + k - \frac{14}{3}.$$

**THEOREM 31 (CIPRA, PINNER, C (2009))**

$$|n_k A| \geq \min\{\beta_k |A|^{k+1}, \sqrt{2p}\},$$

where  $\beta_k = (1/4)^{\frac{4}{3} - \frac{4}{3.4^k}}$ .

$$|2A| \geq \min\{.25|A|^{3/2}, p/2\}$$

$$|7A| \geq \min\{.25|A|^2, \sqrt{2p}\}$$

$$|40A| \geq \min\{.177|A|^3, \sqrt{2p}\}$$

$$|169A| \geq \min\{.163|A|^4, \sqrt{2p}\}.$$

For any multiplicative subgroup  $A$ ,

$$8A = \mathbb{F}_p \quad \text{for } |A| > p^{1/2}$$

$$32A = \mathbb{F}_p \quad \text{for } |A| > 3.18p^{1/3}$$

$$392A = \mathbb{F}_p \quad \text{for } |A| > 2.38p^{1/4}$$

*etc.*

**THEOREM 32 (GLIBICHUK AND KONYAGIN (2007), CIPRA, PINNER, C (2009))**

For  $|A| > p^\epsilon$ ,  $\gamma(k, p) \ll 4^{1/\epsilon}$ .

This is the same strength as the Bourgain bound obtained via exp. sums but with an explicit constant 4.

**Open Problem 3.** Reduce the constant 4, or better yet show that  $\gamma(k, p)$  is less than polynomial growth in  $1/\epsilon$ .

**Conjecture:**  $\gamma(k, p) \ll \frac{1}{\epsilon}$  for  $|A| \gg p^\epsilon$ .

## THEOREM 33 (PINNER, C (2009))

If  $A$  is the group of  $k$ -th powers with  $|A| > 2$  then we have

$$\gamma(k, p) \leq 83 k^{1/2},$$

$$\delta(k, p) \leq 20 k^{1/2}.$$

Proof. Use Glibichuk-Konyagin method for "large"  $A$  ( $|A| > 34$ ) and geometric lattice method for small  $A$ .

Let  $A$  be the multiplicative group of  $k$ -th powers.

**Open Problem 4:** When does  $\gamma(k, p) = 2$ , that is, how large must  $|A|$  be so that  $A + A = \mathbb{F}_p$ ? Same problem for  $\gamma(k, p) = 3$ , etc.

What's known:

Hua and Vandiver (1949), Weil (1949): For  $a \neq 0$ ,

$$|N(a) - p^{s-1}| \leq (k-1)^s p^{\frac{s-1}{2}},$$

where  $N(a)$  is the number of solutions in  $\mathbb{F}_p$  of the Waring equation

$$x_1^k + \cdots + x_s^k = a.$$

For  $|A| > p^{3/4}$   $\gamma(k, p) = 2$ .

For  $|A| > p^{2/3}$ ,  $\gamma(k, p) \leq 3$ .

This is still the best known estimate for when  $\gamma(k, p) = 2$  or 3.

Pinner, C (2010):

For  $|A| \gg p^{10/17}$ ,  $\gamma(k, p) \leq 4$ .

For  $|A| \gg p^{6/11}$ ,  $\gamma(k, p) \leq 5$ .

Proof. Use Heath-Brown, Konyagin estimate  $N_2(A) \ll |A|^{5/2}$ ,  
for number of solutions of the equation

$$x_1 + x_2 = x_3 + x_4,$$

with  $x_i \in A$ , together with

$$|N(a) - p^{s-1}| \leq k^s \Phi_k^{s-3} N_2(A) / |A|.$$

Shkredov and Vyugin (2011): Using improvements of  $|2A|$ ,

For  $|A| \gg p^{33/67}$ ,  $\gamma(k, p) \leq 6$ .

**Open Problem 5.** Obtain improvements in size of  $|A|$  so that  
 $\gamma(k, p) \leq 2, 3, 4, 5, \text{ etc.}$

Let  $t = |A|$ ,  $\gamma(k, p) =$  Waring's number

$$t = 1, 2 \qquad \gamma(k, p) = k$$

$$t = 3, 4, 6 \qquad \gamma(k, p) \approx \sqrt{k}$$

$$t < \log p \qquad \gamma(k, p) \leq c(t)k^{1/\phi(t)}$$

$$t \geq \log p \qquad \gamma(k, p) \ll (\log p)^{2+\epsilon}$$

$$t \geq p^\epsilon \qquad \gamma(k, p) \ll 4^{1/\epsilon}$$

$$t \gg p^{1/2} \qquad \gamma(k, p) \leq 6$$

$$t \geq p^{3/4} \qquad \gamma(k, p) \leq 2$$

$$q = p^n$$

$A$  = the group of nonzero  $k$ -th powers in  $\mathbb{F}_q$

$\gamma(k, q) = \gamma(A, q)$  = Waring's number.

First note that  $\gamma(k, q)$  exists iff  $A$  contains a set of  $n$  linearly independent points over  $\mathbb{F}_p$ .

We assume  $\gamma(k, q)$  exists in what follows.

Cauchy Bound:  $\gamma(k, q) \leq k$ , for any  $k$  and  $q$ .

**THEOREM 34 (HEILBRONN I: (CIPRA (2009))**

*If  $\gamma(k, q)$  exists then*

$$\gamma(k, q) \leq \begin{cases} 16\sqrt{k+1}, & \text{for } q = p^2. \\ 10\sqrt{k+1}, & \text{for } q = p^n, n \geq 3, \end{cases}$$

## THEOREM 35 (CIPRA, C (2011))

If  $\gamma(k, q)$  exists and  $|A| > 1$  then

$$\gamma(k, q) \leq 633(2k)^{\frac{\log 4}{\log |A|}}.$$

Thus for  $|A| > p^\epsilon$  we have

$$\gamma(k, p) \ll 4^{1/\epsilon}.$$

Let  $q = p^n$ ,  $A$  be the group of  $k$ -th powers in  $\mathbb{F}_q$  and

$$A' = A \cap \mathbb{F}_p.$$

## THEOREM 36 (CIPRA (2009))

If  $\gamma(k, q)$  exists, then

$$\gamma(k, q) \leq 8n \left\lceil \frac{(k+1)^{1/n} - 1}{|A'|} \right\rceil,$$

This sharpens work of Winterhof (2001).

The End.